



2151

<b>INFORMATION DISCLOSURE TRANSMITTAL</b>		Docket No. P5758
Applicant:	Mark A. Carlson and Rowan E. da Silva	
Serial No:	10/041,883	
Filed:	October 18, 2001	
For:	METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM RESOURCES	
Examiner:	Not Yet Assigned	
Art Unit:	2151	

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 29, 2004.

*Jan L. Mellen*  
Jan L. Mellen

RECEIVED

MAY 05 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2100

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

**Enclosures**

- ☒ A form PTO-1449 listing this information is attached  
☒ A copy of each document cited is enclosed.  
☐ Copies of the cited documents are not enclosed because

**Fees**

- ☐ This statement is filed before the later of (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application or (2) the mailing date of a first office action on the merits. No fee is due.
- ☒ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, and
- ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, or
- ☒ The following certification is made:
- ☒ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign

application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

- ☐ This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *and*
- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

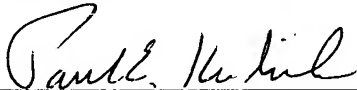
**Payment**

- ☐ A check in the amount of the submission fee is enclosed.
- ☐ Charge Account No. 02-3038 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

**Authorization to Charge Additional Fees**

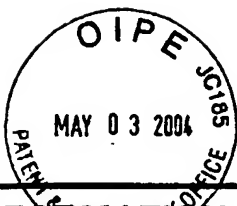
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 02-3038.

Respectfully submitted,



Date: 4/29/04

Paul E. Kudirka, Esq. Reg. No. 26,931  
KUDIRKA & JOBSE, LLP  
Customer Number 021127  
Tel: (617) 367-4600 Fax: (617) 367-4656



**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

Docket No. P5758

Applicant: Mark A. Carlson and Rowan E. da Silva  
Serial No: 10/041,883  
Filed: October 18, 2001  
For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM RESOURCES  
Examiner: Not Yet Assigned  
Art Unit: 2151

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
		6,243,747	B1	Lewis, et al.	6/2001

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
		WO	03/014911	A2	Sun Microsystems, Inc.	2/20/2003	<input type="checkbox"/>
							<input type="checkbox"/>

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		ANONYMOUS, "Jiro Technology Technical Overview", URL: <a href="http://sunsolve.sun.com/kmsattachments/22961">http://sunsolve.sun.com/kmsattachments/22961</a>	<input type="checkbox"/>
		MARTIN Jean-Christophe, "Policy-Based Networks", URL: <a href="http://www.sun.com/solutions/blueprints/1099/policy.pdf">http://www.sun.com/solutions/blueprints/1099/policy.pdf</a>	<input type="checkbox"/>
		ANONYMOUS, "Sun's Managed Storage Networks", URL: <a href="http://sunsolve.sun.com/kmsattachments/22955">http://sunsolve.sun.com/kmsattachments/22955</a>	<input type="checkbox"/>
		ANONYMOUS, "Jiro Technical Overview White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro</a>	<input type="checkbox"/>
		ANONYMOUS, "Managed Storage Networks White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed</a>	<input type="checkbox"/>
			<input type="checkbox"/>

RECEIVED

MAY 05 2004

Technology Center 2100

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--